

1464 PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

HORST et al.

Examiner:

PHUONG M PHU

Serial No.:

09/281464

Group Art Unit:

2631

Filed:

MARCH 30, 1999

Docket No.:

7611.26US01

Title:

METHOD AND APPARATUS FOR ASSIGNING ADDRESSES TO

COMPONENTS IN A CONTROL SYSTEM

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 321727052 US

Date of Deposit: October 8, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: TERESA ANDERSON

## INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop RCE

RECEIVED

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

OCT 1 7 2003

Technology Center 2600

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing of a first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. § 1.114 or a CPA under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each non-U.S. reference or other information listed on the enclosed Form 1449 is provided. In addition, Applicants state that most of these references (and all of the U.S. references) were already submitted in an IDS filed September 8, 2003, which crossed in the mail with the Notice of Allowance. Examiner should already have a copy of these references, therefore we have not provided a copy herewith.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

Respectfully submitted,

MERCHANT & GOULD P.C.

P.O. Box 2903

Minneapolis, MN 55402-0903

(612)332.5300

Bv:

Gregory A. Sebald

Reg. No. 33,280

Dated: October 8, 2003

GAS/nel

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PATENT TRADEMARK OFFICE

FORM 1449* SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	Docket Number: 07611.0026US01	Application Number: 09/281464	
IN AN APPLICATION	Applicant: HORST et al.		
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TRAD	<u> </u>	U.S. P	ATENT DOCUMENT	rs		<u> </u>	
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING D IF APPROP	
	5,815,823	September 1998	Engle			_	
	5,570,284	October 1996	ROSELLI et al.				
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	5,746,261	May 1998	Bowling		Technolo	gy Center 2	600
	4,529,980	July 1985	LIOTINE et al.				
	5,511,749	April 1996	HORST et al.				
	3,639,755	February 1972	Wrege				
	4,912,463	March 1990	Li				
	5,685,507	November 1997	HORST et al.				
	6,456,674	September 2002	HORST et al.				
	6,466,847	15-OCT-2002	HORST				
	6,470,245	22-OCT-2002	PROULX				
	5,884,146	03/16/1999	SIMMONS				
	3,639,755	02/01/1972	WREGE				
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	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLA	TION
						YES	NC
-	WO 96/36953	November 1996	wo		,		
	36 18 464 A1	12/03/1987	DE			ABSTRACT	
	0 704 590 A2	03/04/1996	EP		,		
	0 704 590 A3	03/04/1996	ЕР				
	42 42 231 A1	16/6/1994	DE			ABSTRACT	

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

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TRADEMARK	OTHER DOCUMENTS (Including Auth	or, Title, Date, Pertinent Pages, Et	c.)	
	European Search Report dated 28.07.03			
Search Report entitled: "Remote Control Systems for Locomotives: Review of Technical and Trade Literature, 1980 Forward" Compiled by Information Works Inc. for Laurie Mitchell, CANAC Inc. May 20, 2003 p. 1-110, bibliography				
	European Search Report dated 28.07.03			

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**EXAMINER** 

DATE CONSIDERED

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